



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shaun Pendo, et al.  
Serial No.: 10/033,276  
Filed: January 2, 2002  
Title: SENSOR SUBSTRATE AND METHOD OF FABRICATING SAME

Examiner: Not yet assigned  
Group Art Unit: 1762  
Docket No.: 047711-0280

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 25, 2002.

By: *Amy Christensen* Amy Christensen

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Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- Transmittal Sheet with Certificate of Mailing thereon (in duplicate)
- Information Disclosure Statement Transmittal (in duplicate)
- Form PTO/SB/08 (3 pages)
- References (62)
- Return Postcard

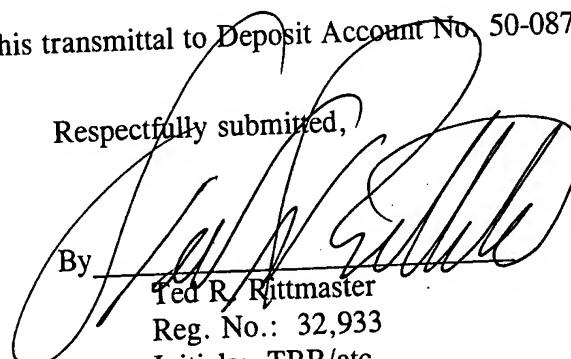
Please charge any fees associated with this transmittal to Deposit Account No. 50-0872.  
A duplicate of this sheet is enclosed.

Dated: July 25, 2002

Respectfully submitted,

By

Ted R. Rittmaster  
Reg. No.: 32,933  
Initials: TRR/atc



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2029 Century Park East, Suite 3500  
Los Angeles, CA 90067-3021  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants: Shaun Pendo, et al. Examiner: Not yet assigned  
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By: *Amy Christensen*  
Amy Christensen

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Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed PTO/SB/08 Form are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the issuance of a first Office Action in the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed PTO/SB/08 Form.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed PTO/SB/08 Form is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not

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represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached PTO/SB/08 Form, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge fees, if any, to Deposit Account No. 50-0872.

Dated: July 25, 2002

Respectfully submitted,

By

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: July 25, 2002

(use as many sheets as necessary)

1 of 3

Complete if Known	
Application Number	10/038,276
Filing Date	January 2, 2002
First Named Inventor	Shaun Pendo, et al.
Group Art Unit	1762
Examiner Name	Not Yet Assigned
Attorney Docket Number	047711-0280

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)		
		4,240,438		Updike et al.	12/23/1980
		4,479,796		Kallok	10/30/1984
		4,484,987		Gough	11/27/1984
		4,568,335		Updike et al.	02/04/1986
		4,628,928		Lowell	12/16/1986
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		4,703,756		Gough et al.	11/03/1987
		4,757,022		Shults et al.	07/12/1988
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		4,890,620		Gough	01/02/1990
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		4,994,167		Shults et al.	02/19/1991
		5,094,951		Rosenberg	03/10/1992
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		5,328,460		Lord et al.	07/12/1994
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		5,919,216		Houben et al.	07/06/1999
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		5,941,906		Barreras, Sr., et al.	08/24/1999
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		6,001,067		Shults et al.	12/14/1999
		6,002,954		Van Antwerp et al.	12/14/1999

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: July 25, 2002 <small>(use as many sheets as necessary)</small>		Application Number	10/038,276
		Filing Date	January 2, 2002
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		Group Art Unit	1762
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	047711-0280
PATENT & TRADEMARK OFFICE	2	of	3

## **U.S. PATENT DOCUMENTS**

U.S. PATENT DOCUMENTS			
	U.S. Patent Document		
6,027,479	Alei et al.	02/22/2000	
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Des. 424,696	Ray et al.	05/09/2000	
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6,093,167	Houben et al.	07/25/2000	
6,103,033	Say et al.	08/15/2000	
6,120,676	Heller et al.	09/19/2000	
6,122,536	Sun et al.	09/19/2000	
6,125,290	Miesel	09/26/2000	
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6,134,459	Roberts et al.	10/18/2000	
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6,248,080	Miesel et al.	06/19/2001	
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6,261,280	Houben et al.	07/17/2001	
6,268,161	Han et al.	07/31/2001	

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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Sheet <b>3</b> of <b>3</b>					
<small>(Use as many sheets as necessary)</small>					

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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